

Agenda Topics: 2017

As of July 28, 2017

ESG INTEGRATION TRACK

Trends in ESG Integration: Equity Indexes, Green Bonds, and Private Equity

ESG integration is spreading. Major asset owners have adopted sustainability indexes as a policy benchmark, ESG considerations are being robustly applied to the fixed income space (especially in the exciting green bond world), and private equity is primed for ESG take-off. This session will feature practitioner experts involved in applying ESG across asset classes.

The Cost of a Climate Change Comb-Over

The cost of climate change has been estimated at over \$67 trillion — just to address the global energy demand over the next twenty years. Non-energy costs associated with a changing climate will add dramatically to that figure. In this session, investment and environmental experts will discuss and demonstrate ways that investment capital can be directed to address this pressing need.

Investing for a Better World: How the SDGs Are Reshaping Companies and Investing

This panel of experts will explore the potential for the United Nations' Sustainable Development Goals (SDGs) to serve as a sustainable investment framework. How is the impact investing field interacting with the SDGs? Is alignment with the SDGs applicable to or desirable for public equity investors for research and/or engagement processes? What's the path forward from here?

ESG Data / Ratings: New Kids on the Block

Ratings, reporting, and data sets are increasingly evolving to help investors better understand the implications and potential materiality of ESG issues. New players have emerged and are working to extract signals from the noise. This panel of experts will discuss and demonstrate how natural language processing, real-time risk analysis, and other cutting-edge technologies can provide actionable insights from big ESG data.

Moskowitz Prize Winning Study: Understanding the Significance

The Moskowitz Prize is awarded annually for excellence in academic research on a topic germane to the socially responsible investment industry. Lloyd Kurtz will introduce the 2017 winner who will review findings of the prize-winning study, and briefly discuss other recent and noteworthy contributions to the academic literature on sustainable, responsible, impact investing.

PROFESSIONAL EDUCATION TRACK

Impactful Matchmaking: How Advisors First Talk Impact with Clients

What Every Advisor Should Know About Running a Successful SRI / ESG Practice

This session is designed to provide guidance and best practices for newly-minted as well as seasoned advisors offering responsible investment strategies. This dynamic expert panel will share their journey in building a successful practice and offer key considerations integrating sustainable, responsible, impact investing into their practices. The discussion will cover the alphabet soup of terminology, screening the universe of SRI asset managers to determine best fit for clients, and how to conduct due diligence on this growing segment of the financial services industry.

Active and Passive, International, Domestic: Perspectives on ESG Integration at the Portfolio Level

This expert panel will help advisors and investors understand the advantages and differences in ESG implementation in both active and passive investments, as well as international and domestic portfolios. Asset Managers will discuss differences and opportunities in portfolio construction, company engagement, and diversification versus concentration. Plan to leave with a firm basis for judging future investment options in the SRI / ESG space.

Know What You Own: Truth Beyond the Facade

Critically assessing companies for clients' portfolios is an important part of financial advising. Learn and understand important tools available for ESG monitoring and company ratings so that your portfolio management reflects clients' values and financial requirements. Hear examples of effective shareholder activism providing positive impact in the marketplace. Ranking products and services by sustainable criteria and "telling stories" that convey inherent quality and value will find their way to consumers' hearts, minds and wallets — now more than ever.

Guiding Foundations Toward Positive Impact

What are the best practices for working with board members of foundations and endowments to help them further their mission using SRI and impact investments? In this session, seasoned advisors and foundation representatives discuss how to gain access, get hired by, and successfully influence such organizations toward greater positive impact.

Beyond Word-of-Mouth: How Can Marketing Be Part of Your Plan?

Great client experiences and fostering referral sources are the lifeblood of new business. To achieve steady and managed growth, however, some level of formal marketing should be part of the plan. How can you keep your focus on clients and still find time to tell your story to a wider audience? We'll share success stories and discuss real ways to better capture your unique value, prioritize the type of marketing that works best for you, and map out realistic goals. You'll see an impact on your growth and feel more confident in the future of your business.

GOVERNANCE TRACK

Climate Change and Company Boards / Management Teams

Company policies on climate change are receiving an increasing amount of attention. Do company boards and management teams have the appropriate tools and frameworks they need to allow for the disclosure of governance around climate change? Can disclosure be integrated into reporting on risks and opportunities?

Stewardship, Sustainability, and the Metrics of Materiality

What does responsible ownership have to do with meaningful financial disclosure? Corporate board members are charged with ensuring that shareholder capital is managed responsibly while dealing with competing definitions of materiality. This

session explores how stewardship can help lead to long-term value creation for corporate stakeholders and the ways it should be reported to demonstrate success.

Gender / Diversity / Board Make-Up / Trust: What Makes a Great Board

Inequality, Sustainability, and Board-Level Accountability

ESG funds are increasingly engaging boards on their firm's track record on social equality, including shareholder proposals supporting minimum wage reform and ending the gender pay gap. At the same time, global stewardship commitments by asset owners are increasing pressure on boards to formally integrate ESG risk assessment into their governance principles. This session will discuss what's working for investors.

SHAREOWNER ADVOCACY TRACK

Conflict-Free Electronics: How Advocates, Investors, and Corporations Can Collaborate to Protect Human Rights in the Mineral Supply Chain

Section 1502 of the Dodd-Frank Act requires companies to disclose whether the minerals found in their products originated in the Democratic Republic of the Congo or an adjoining country. The goal is to protect locals who are enslaved and forced to work mining ores that fund genocide and terrorism. The current administration is planning on rolling back the rule. How can NGO advocates, corporations, and investors collaborate to ensure that minerals used in electronics remain conflict-free?

Powering Forward: Leveraging Demand to Create a Clean Energy Economy

Many large companies are setting aggressive energy efficiency, renewable energy, and greenhouse gas reduction goals. How can investors partner with portfolio companies and other stakeholders to create a roadmap for emerging best practices? How can increasing demand for renewable energy be leveraged to overcome the lagging supply of renewable options from utilities and adequate financing from banks?

Shareholder Advocacy and Sustainable Agriculture: Let's Talk About the Workers

A resilient food future depends on a healthy and engaged workforce. This panel will explore how investor advocates, a multi stakeholder non-profit, and companies can take action to improve pollinator health and promote worker empowerment, recognizing both critical to reducing risk and driving long term value in the way food is grown and sold.

Measuring the Impact of Shareowner Advocacy

COMMUNITY IMPACT INVESTING TRACK

Investing in the Power of Inclusion

With increased interest in gender diversity among the ranks of corporate and investor leadership, this panel will help the audience understand the resultant opportunities: What are the links between diversity and performance, and how can investors go about building a portfolio of companies, projects, and managers across asset classes that are leveraged to the strengths of women in leadership positions?

What Are Your Assets Up To? Next Generation Impact Monitoring for Listed Equity Portfolios

Investors at all levels are increasingly seeking better metrics to assess the real-world impact of their portfolios - both negative and positive. The pioneering work of the GIIN has pushed the envelope, calling on investors to develop and report concrete impact metric, going well beyond monitoring risks such as simple carbon exposure. This panel will discuss and debate the next generation of cutting edge analytical tools now offering better transparency, as investors seek to support positive impacts, and mitigate negative ones.

Place-Based Investing: Catalyzing Community Development

Interest in place-based investing, or investing in the places people live in and love, is growing. Investing in the local community builds community resiliency and stimulates economic growth while producing financial returns. Retail and institutional investors are seeking out banking and investment opportunities that lead to sustainable and equitable community development as well as diversified and impact-generating portfolios.

San Diego / Tijuana Cross-Border Economic Relationship — Unique and Vibrant

This session will feature experts discussing the unique and sophisticated economic relationship and financial impact of the San Diego and Tijuana area as well as the Cali-Baja mega region. It will offer an in-depth look at how the geographical location of San Diego and Tijuana contributes to a unique economic dynamic than other cross-border cities — very different than what one might expect from reading about the debate around “building a wall.”